

# Regulations Modernization in



Presented By: Christopher Drake  
Deputy General Counsel  
Office of the Governor

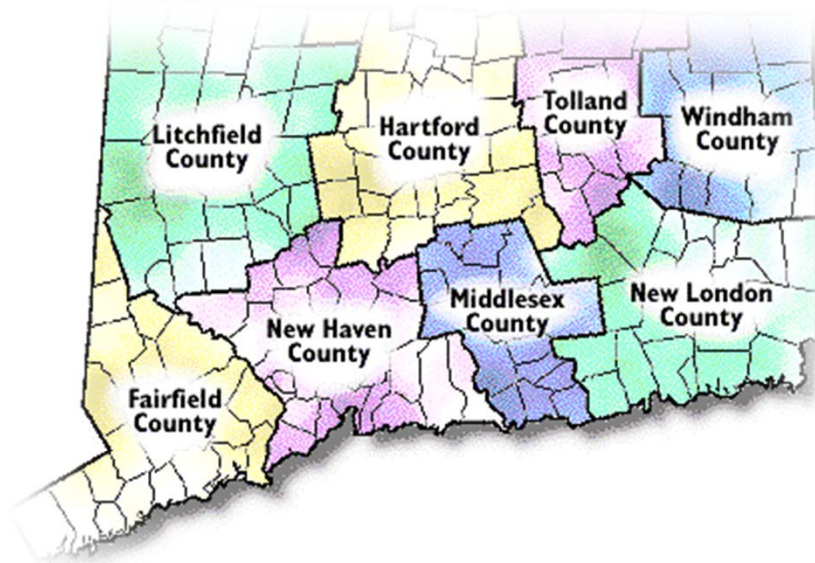
# AGENDA

- Presenter Introductions
- Connecticut Basic Facts
- Connecticut Government Structure
- Connecticut's Current Regulations Process
- Current Publication Process
- New Solution
- Questions

# Who Are We?

- **Christopher Drake**  
Deputy General Counsel  
Office of the Governor
- **David Mancusi**  
Chief Executive Officer  
Fairfax Data Systems, Inc.
- **Charles Fizer**  
Chief Architect  
Fairfax Data Systems, Inc.

# INTRODUCTION



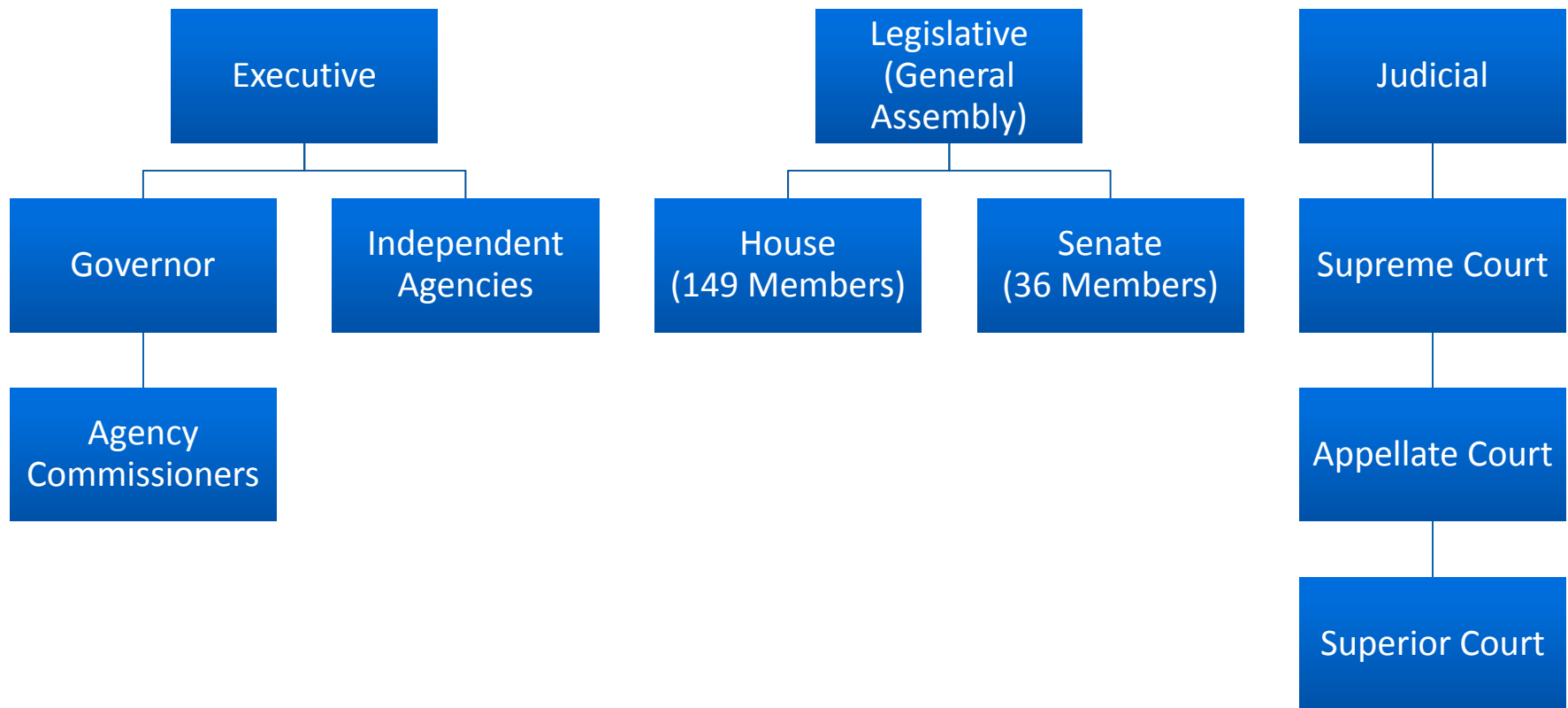
# Connecticut

# INTRODUCTION

- Population 3,596,080 (2010 Census)
- Third Smallest State
- Fourth Most Densely Populated
- Largest Cities
  - Bridgeport (144,229)
  - New Haven (129,779)
  - Hartford (124,775)
  - Stamford (122,643)



# CONNECTICUT – GOVERNANCE STRUCTURE



# CURRENT PROCESS

Agency Drafts Proposed Regulation

Office of Policy & Management (Budget) & Governor's Office Approve

30 Day Public Comment Period (Public Hearing May Be Triggered)

Attorney General Approves for Legal Sufficiency

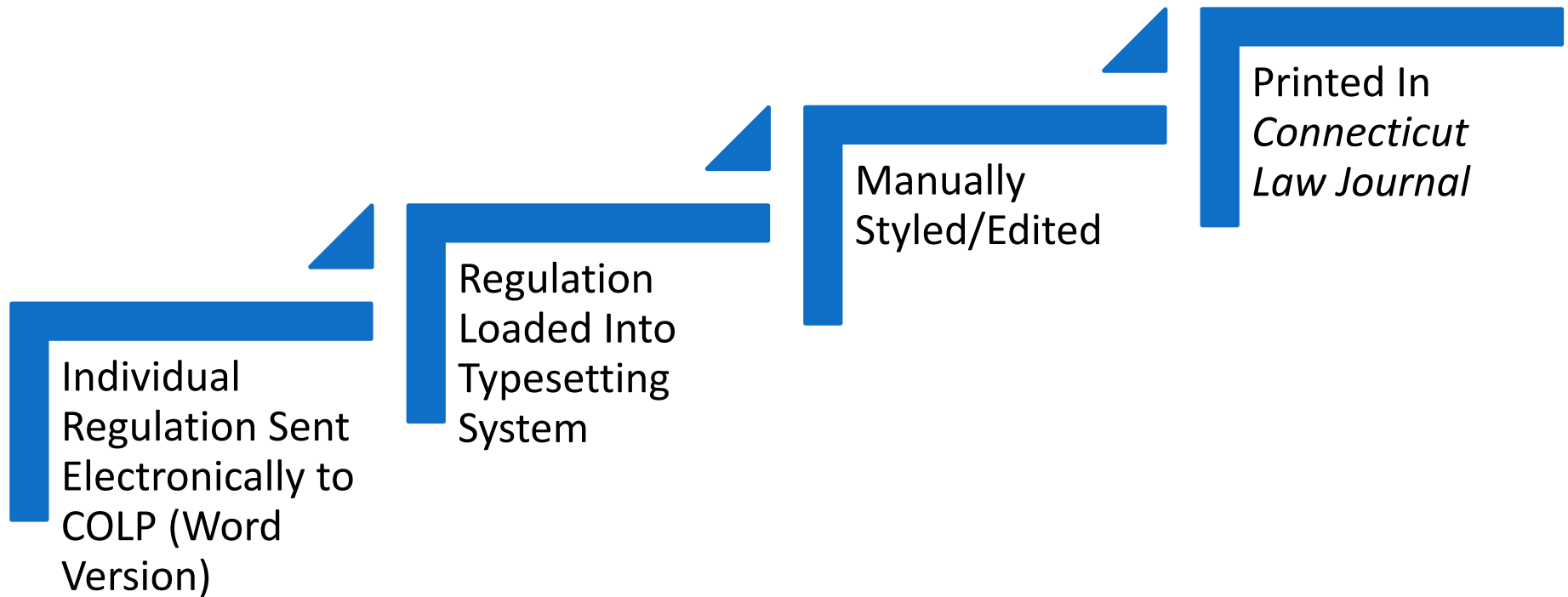
Legislative Regulations Review Committee Approves

Filed with Secretary of the State

Commission on Official Legal Publications (Judicial) Publishes in Connecticut Law Journal

# CURRENT PRINTING PROCESS

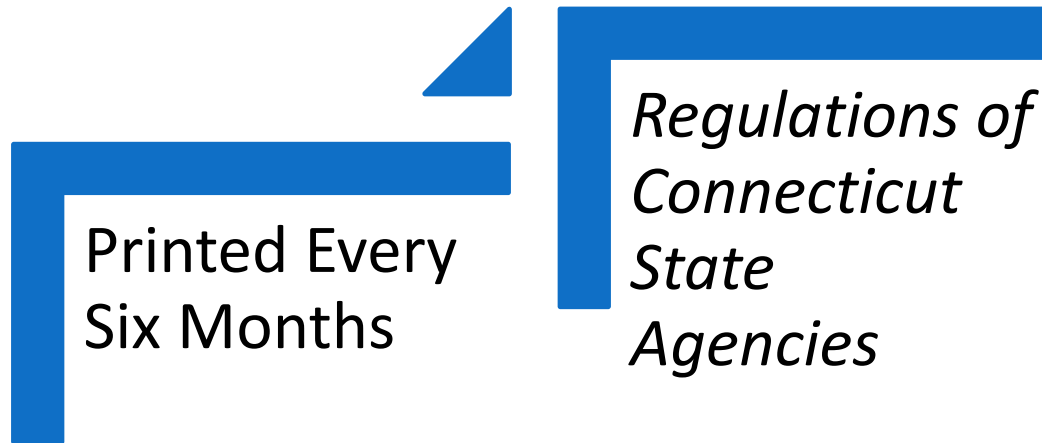
## INDIVIDUAL REGULATIONS





# CURRENT PRINTING PROCESS

CODIFIED REGULATIONS



Department of Public Works

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**Description of Organization**

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**ADMINISTRATIVE REGULATIONS**

*Regulations and notices published herein, pursuant to General Statutes Sections 4-168 and 4-173, are printed exactly as submitted by the forwarding agencies. These, being official documents submitted by the responsible agencies, are consequently not subject to editing by the Commission on Official Legal Publications.*

*A cumulative list of effective amendments to the Regulations of Connecticut State Agencies may be found in the Connecticut Law Journal dated December 3, 2013.*

**DEPARTMENT OF MOTOR VEHICLES**

**Motor Carrier Safety Regulations**

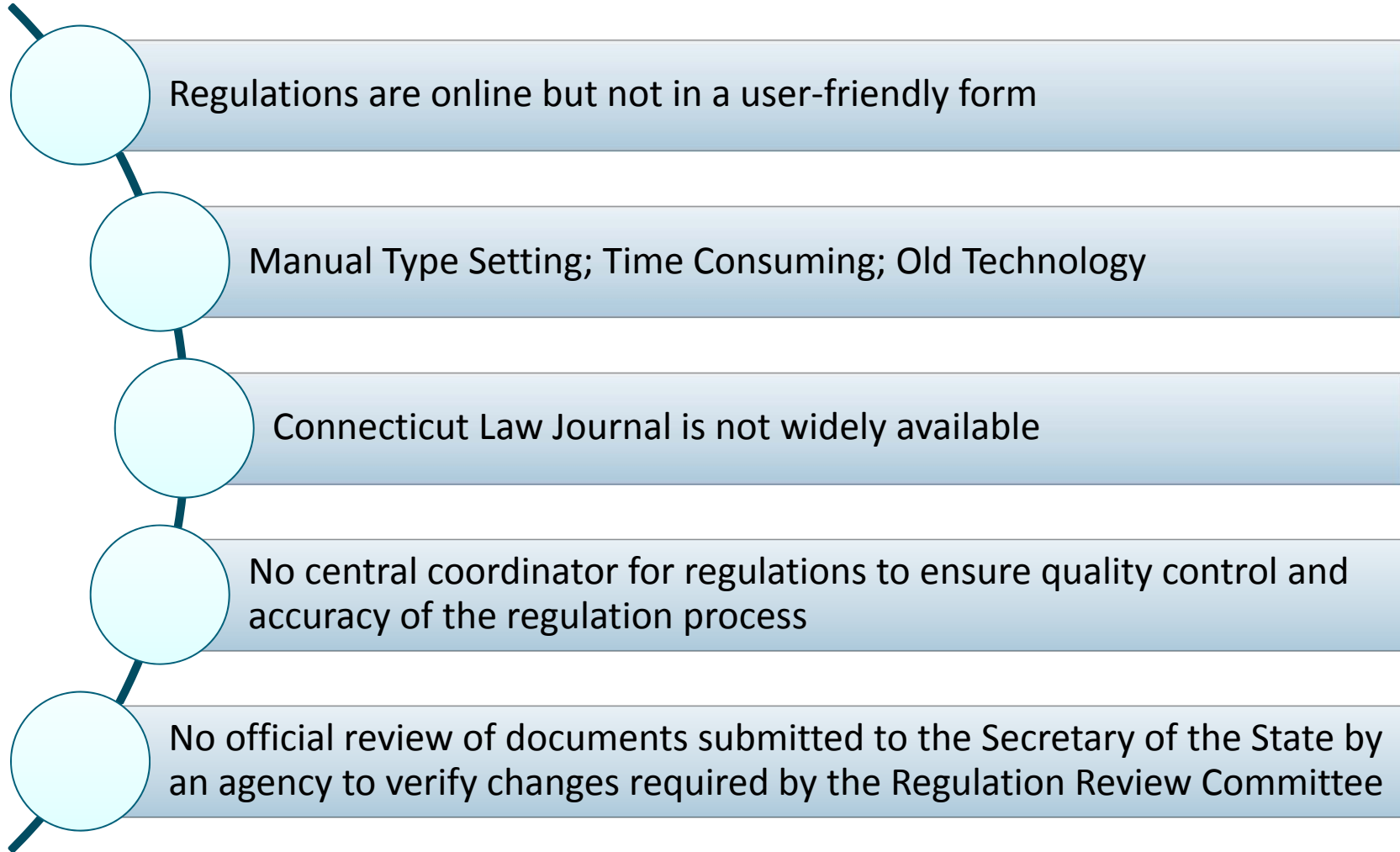
Section 1. Sections 14-163c-1 through 14-163c-2 of the Regulations of Connecticut State Agencies are amended and the following is substituted in lieu thereof:

**Sec. 14-163c-1. Adoption of regulations**

(a) The following parts of Title 49 of the Code of Federal Regulations are incorporated by reference hereto as regulations of the Department of Motor Vehicles:

- (1) Part 382, "Controlled Alcohol Use and Testing", as amended from time to time;
- (2) Part 383, "Commercial Driver's License Standards; Requirements and Penalties," as amended from time to time;
- (3) Part 384, "State Compliance with Commercial Driver's License Program," as amended from time to time;
- (4) Part 385, "Safety Fitness Procedures," as amended from time to time;
- (5) Part 386, "Rules of Practice for Motor Carrier, Broker, Freight Forwarder, and Hazardous Materials Proceedings," as amended from time to time;
- (6) Part 387, "Minimum Levels of Financial Responsibility for Motor Carriers," as amended from time to time;
- (7) Part 388, "Cooperative Agreements with States," as amended from time to time;
- (8) Part 390, "Federal Motor Carrier Safety Regulations; General," as amended from time to time;
- (9) Part 391, "Qualifications of Drivers and Longer Combination Vehicle (LCV) Driver Instructors," as amended from time to time;
- (10) Part 392, "Driving of Commercial Motor Vehicles," as amended from time to time;
- (11) Part 393, "Parts and Accessories Necessary for Safe Operation," as amended from time to time;
- (12) Part 394, "Removed and Reserved," as amended from time to time;
- (13) Part 395, "Hours of Service of Drivers," as amended from time to time;
- (14) Part 396, "Inspection, Repair and Maintenance," as amended from time to time; and
- (15) Part 397, "Transportation of Hazardous Materials; Driving and Parking Rules," as amended from time to time.

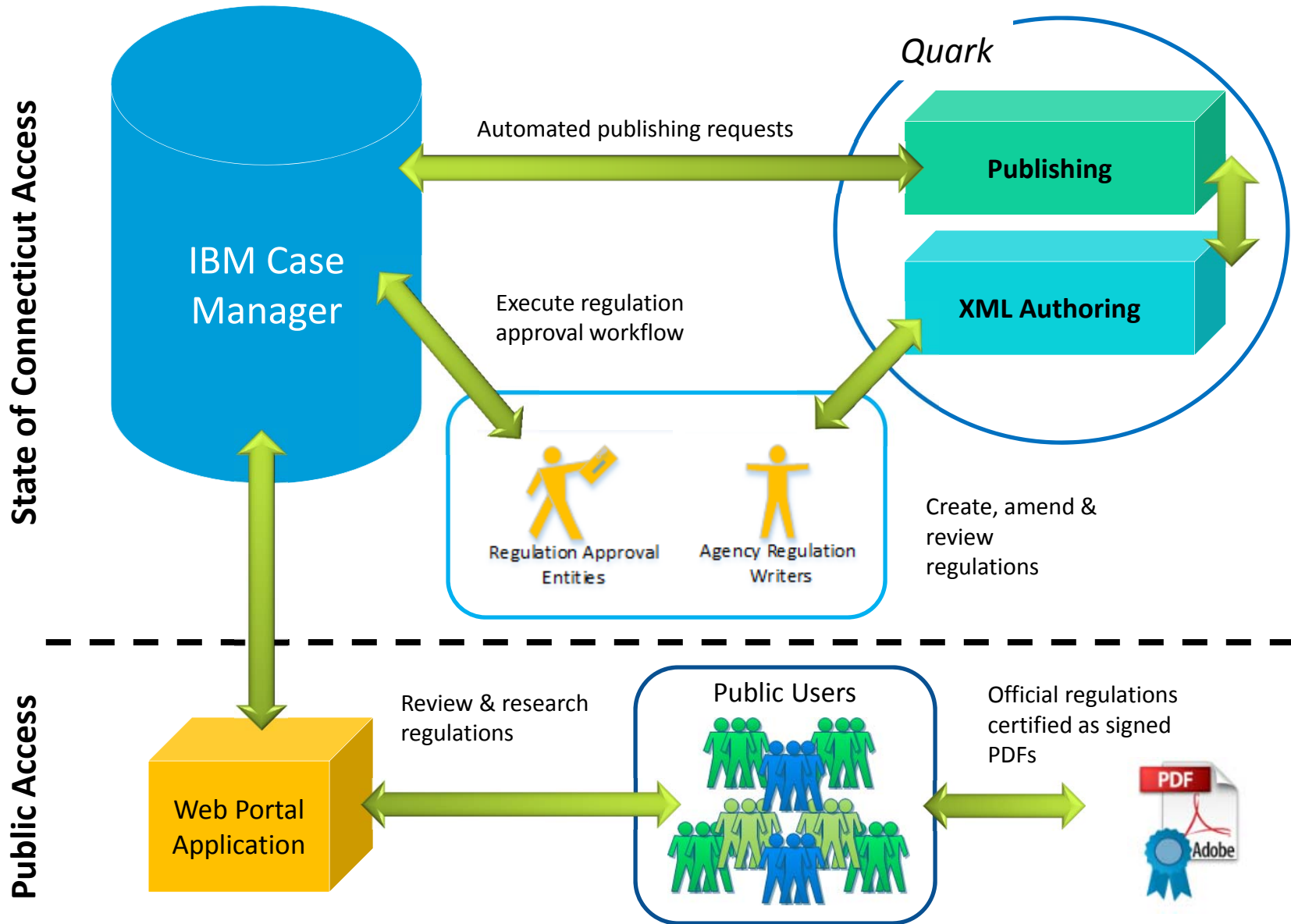
# CURRENT PROCESS ISSUES



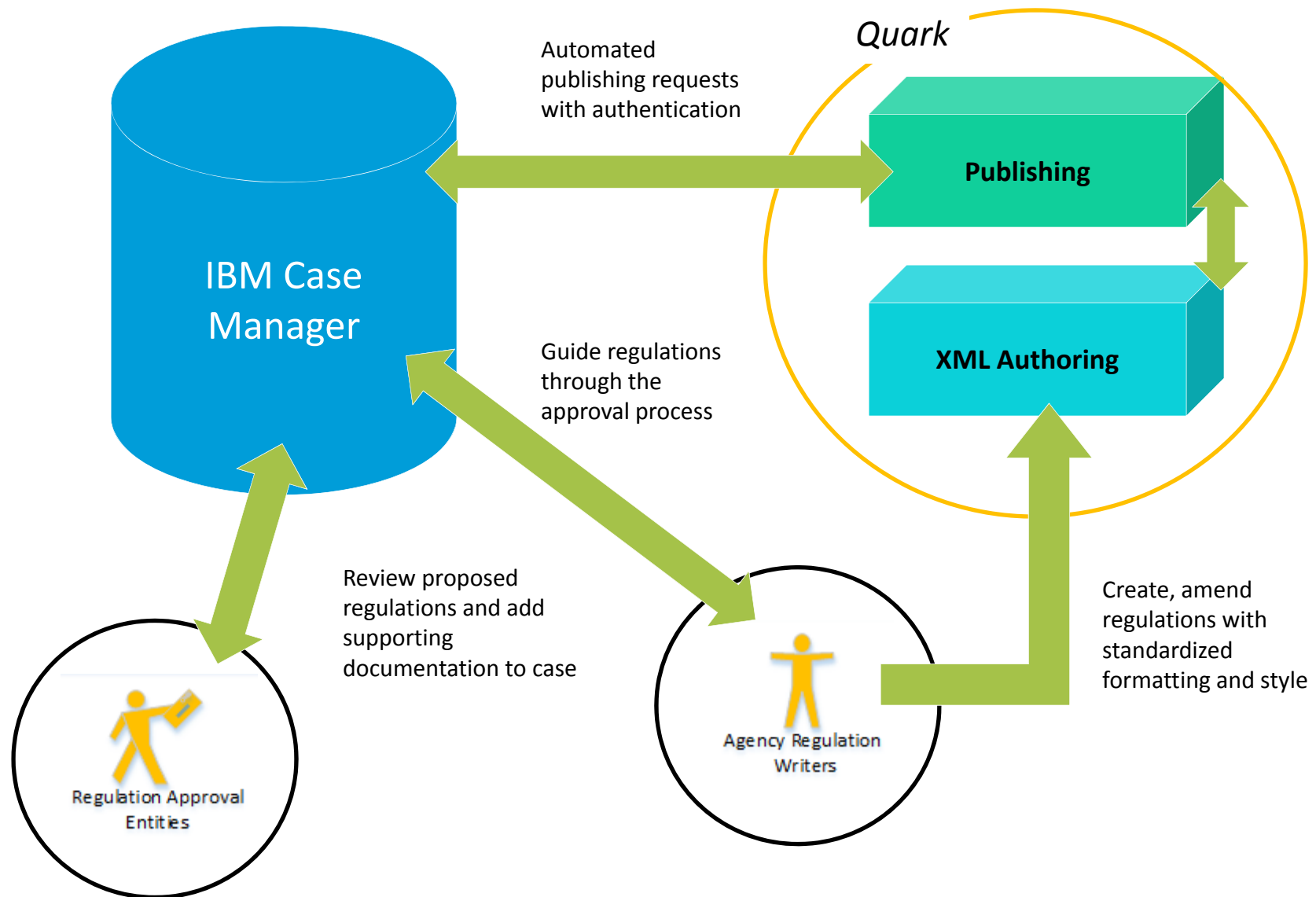
# RECENT MODEST PROCESS IMPROVEMENTS



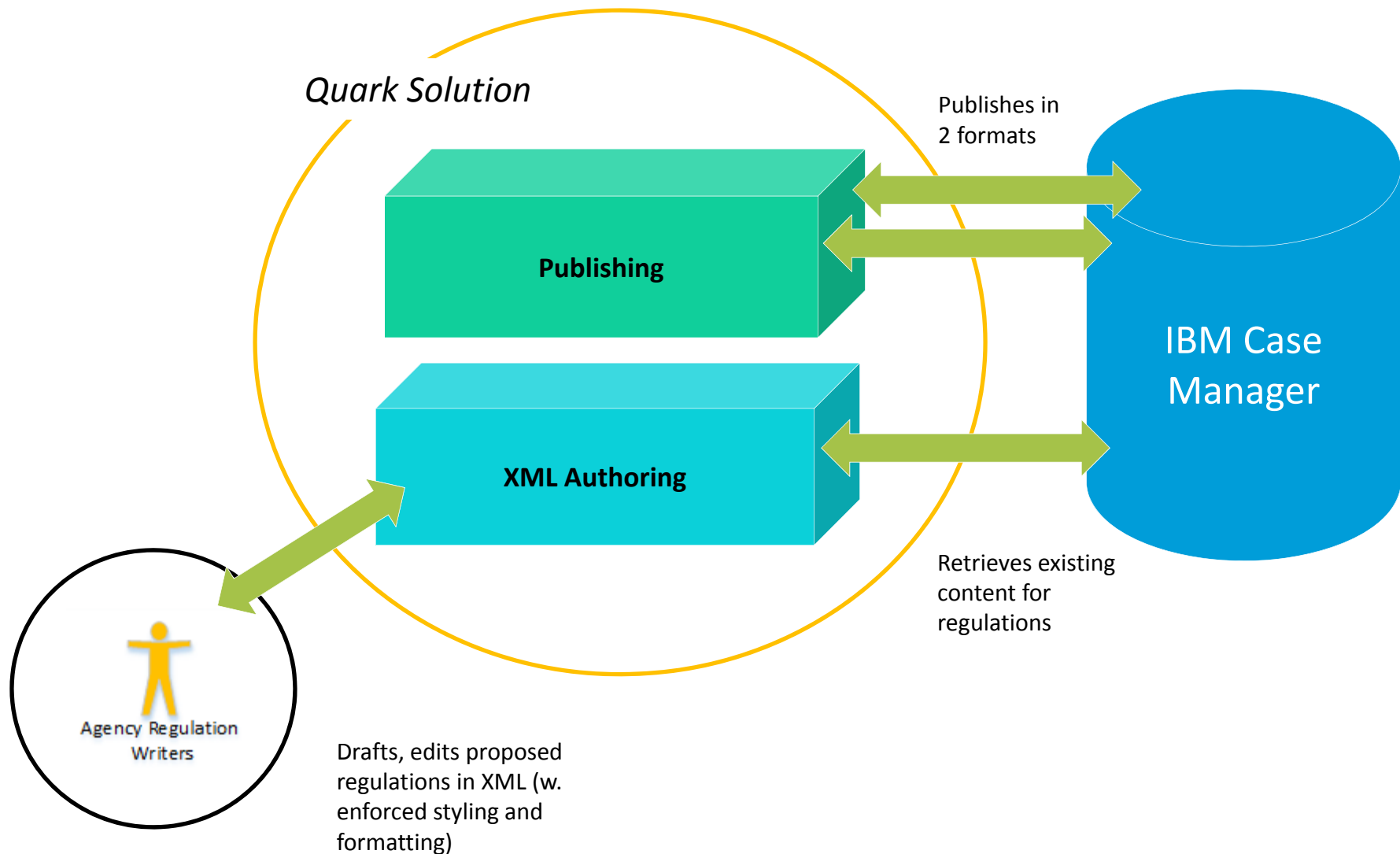
# eRegulations Architecture



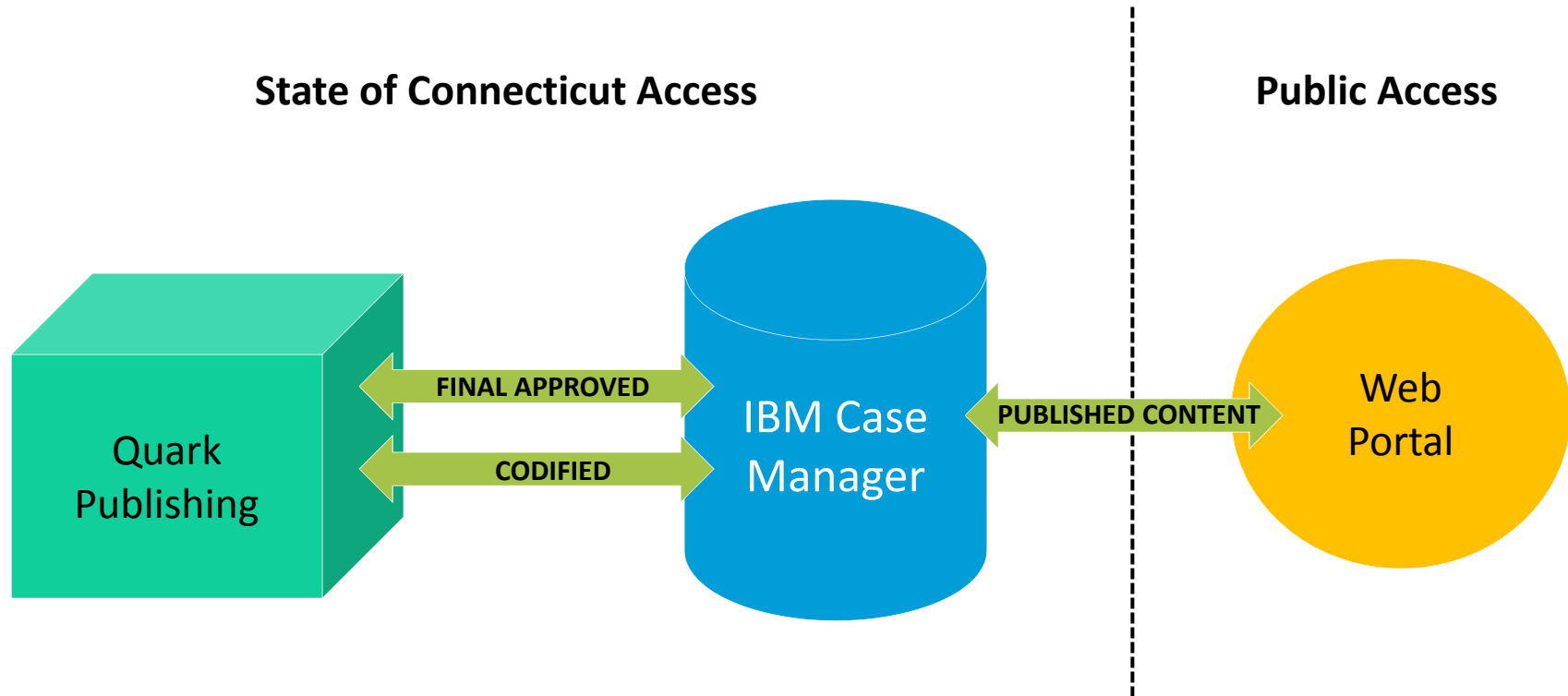
# IBM Case Manager



# Quark Authoring & Publishing



# Quark Authoring & Publishing (cont'd)

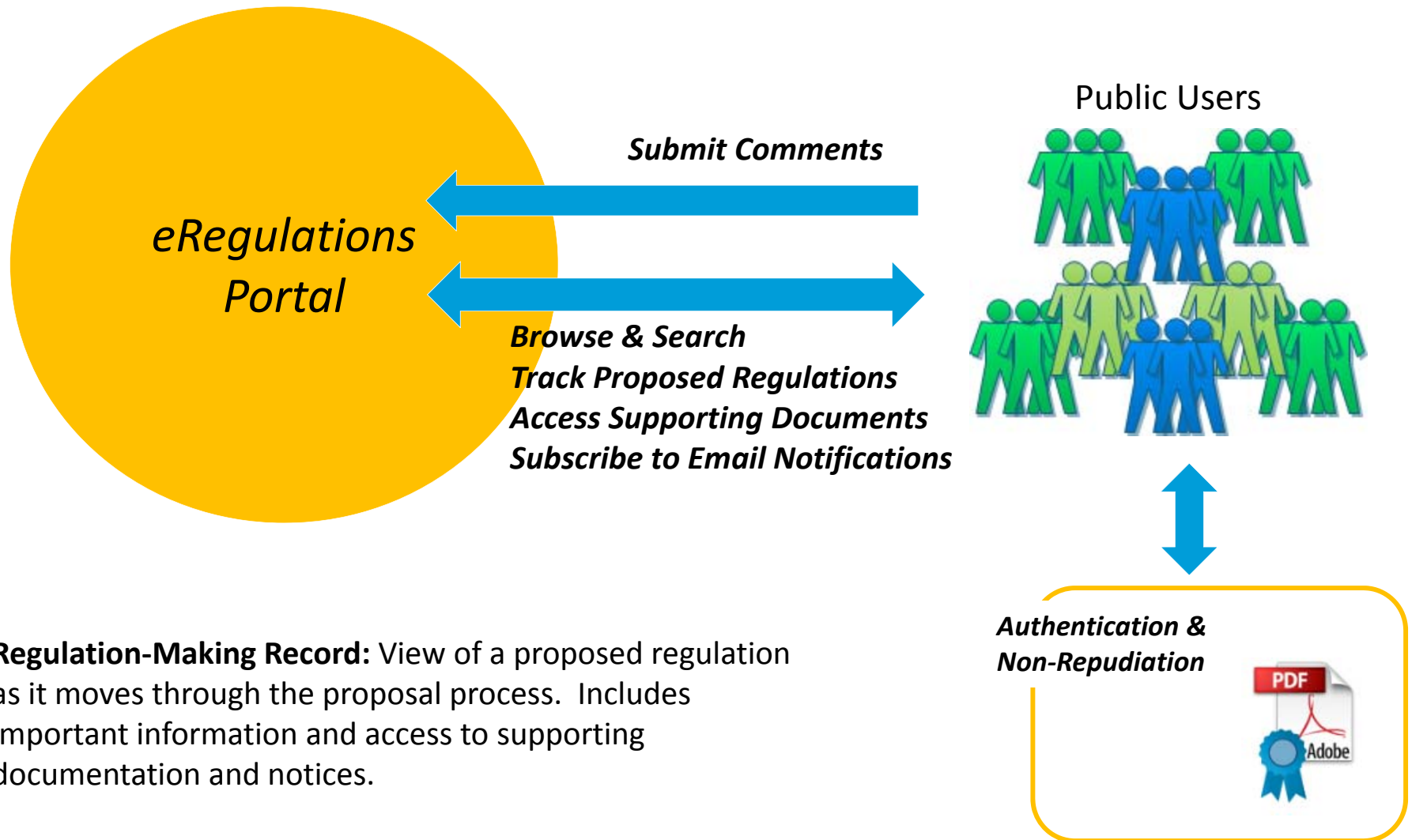


2 publishing streams:

1. Final Approved— Marked-up version
2. Codified— Clean official version (book view)



# Public- Facing Web Portal



**Regulation-Making Record:** View of a proposed regulation as it moves through the proposal process. Includes important information and access to supporting documentation and notices.

# Regulation Making Record



DENISE W. MERRILL  
CONNECTICUT SECRETARY OF THE STATE

Connecticut  
eRegulations System



Home

Search

Browse

Information

Regulation Making Record  
Tracking Number: 123461

Public Comment  
In Progress

Attorney General  
Pending

LRRC  
Pending

SOTS  
Pending

## Summary Information

[Help](#)

Agency	DSS
Agency Lead	
Agency Lead Email	
Current Status	
Subject Matter	
Statutory Authority	
Short Name	
Brief Summary	

## Notes

[Help](#)

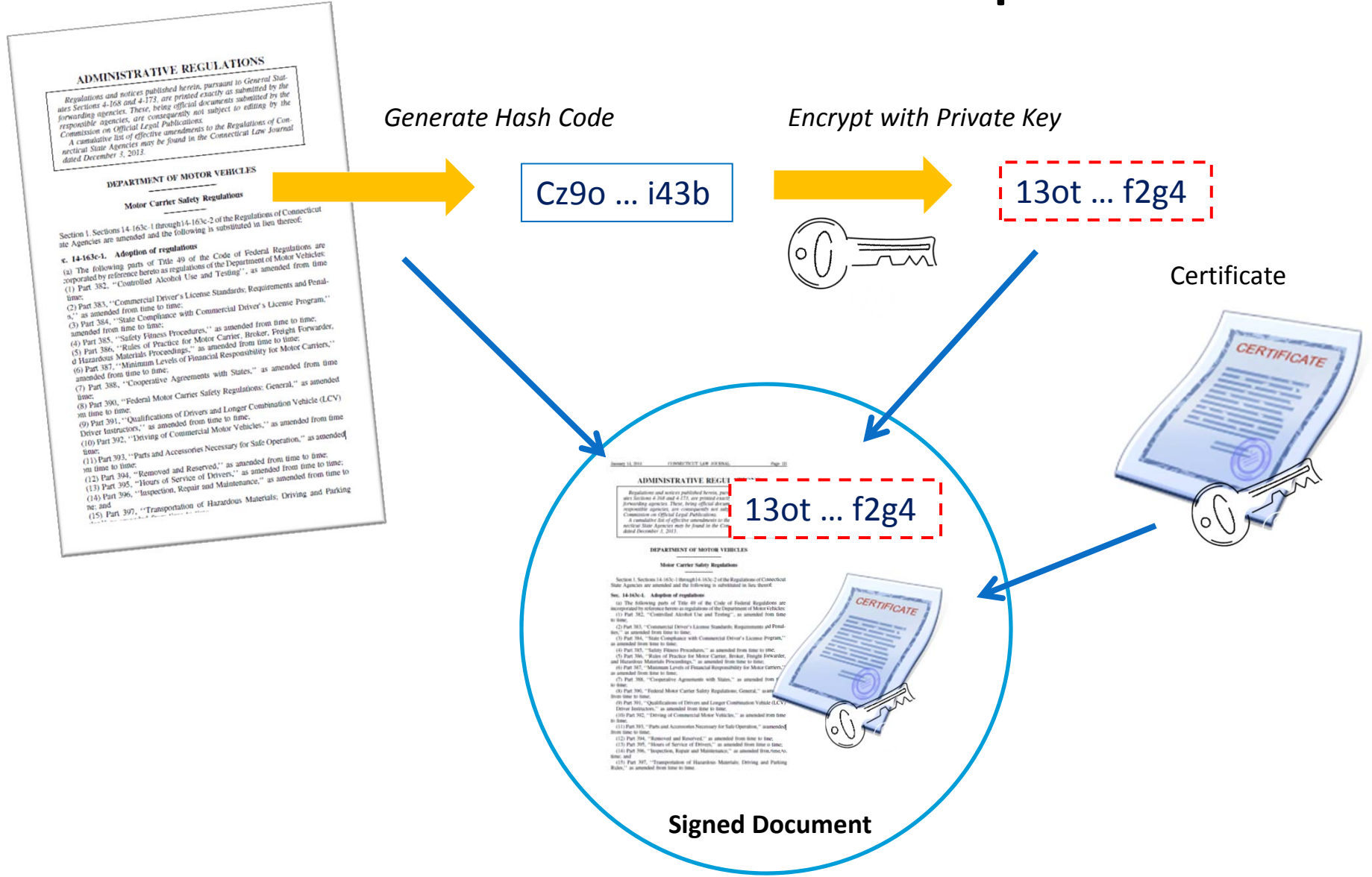
Comments Period Ends	1/2/2014
Hearing Scheduled On	
Hearing Date	
Hearing Time	
Hearing Location	..

## RMR History

[Help](#)

ID	Posted Date	Description	Details
1	12/02/2013	Testing Regulation Document RMR Entry	No Details Available
2	12/02/2013	Notice of Intent Uploaded by Agency	No Details Available
3	12/03/2013	Public Hearing Scheduled. Click Details for Full Info.	<a href="#">Public Hearing Details</a>
4	01/23/2014	New Comment Period	<a href="#">View Comments</a>

# Authentication & Non-Repudiation



# Process Issue Solutions

## Current State

- *Regulations are online, but not in a user friendly form*
- *Connecticut Law Journal is not widely available*

## Future State

- Portal provides a transparent, interactive portal with search and browse capabilities.
- Members of the public may submit comments, track proposed regulations, and subscribe to email notifications

# Process Issue Solutions (cont'd)

## Current State

- *Manual Type Setting; Time Consuming; Old Technology*

## Future State

- Quark XML Author enforces a standardized drafting and editing process
- Content will be tagged so it can be organized and reused
- Regulations will be easily editable via a Word plug-in
- Automates manual type-setting work

# Process Issue Solutions (cont'd)

## Current State

- *No central coordinator for regulations to ensure quality control and accuracy*
- *No official review of documents submitted to the Secretary of the State by an agency to verify changes required by the Regulation Review Committee*

## Future State

- ICM automates the workflow and ensures that regulations are properly reviewed by all required entities
- Secretary of the State is the final reviewer in the workflow; publishes all official content

Questions?